Limiting glutamate transmission in a Vglut2-expressing subpopulation of the subthalamic nucleus is sufficient to cause hyperlocomotion

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The subthalamic nucleus (STN) is a key area of the basal ganglia circuitry regulating movement. We identified a subpopulation of neurons within this structure that coexpresses Vglut2 and Pitx2, and by conditional targeting of this subpopulation we reduced Vglut2 expression levels in the STN by 40%, leaving Pitx2 expression intact. This reduction diminished, yet did not eliminate, glutamatergic transmission in the substantia nigra pars reticulata and entopeduncular nucleus, two major targets of the STN. The knockout mice displayed hyperlocomotion and decreased latency in the initiation of movement while preserving normal gait and balance. Spatial cognition, social function, and level of impulsive choice also remained undisturbed. Furthermore, these mice showed reduced dopamine transporter binding and slower dopamine clearance in vivo, suggesting that Vglut2-expressing cells in the STN regulate dopaminergic transmission. Our results demonstrate that altering the contribution of a limited population within the STN is sufficient to achieve results similar to STN lesions and high-frequency stimulation, but with fewer side effects.

Parkinson disease | deep brain stimulation | vesicular transporter | optogenetics | striatum

The subthalamic nucleus (STN) has long been a structure of interest for researchers and clinicians alike. There is ample evidence that high-frequency stimulation of the STN improves symptoms such as tremor, rigidity, and slowness of movement, so called bradykinesia, in patients with Parkinson disease (see ref. 1 for review), but the mechanism through which this is achieved is still unknown. Some studies suggest that electrical stimulation causes a hyperexcitation of this structure (2), whereas others find evidence that the opposite is true (3–5). Other possible interpretations include the activation of the zona incerta, a neighboring white-matter structure (6) or of fibers coming from the motor cortex (7). Bilateral lesions of the STN improve locomotion (8), a result that is consistent with the inactivation hypothesis. However, previous studies have also found cognitive side effects when using high-frequency stimulation of the STN (9), findings supported by lesion studies in experimental animals, which led to abnormalities in operant tasks involving attention and impulsivity (10, 11). The projections of the STN to other regions help explain the multiple roles of this structure: It sends projections to other targets in the basal ganglia, such as the internal segment of the globus pallidus [also termed the entopeduncular nucleus (EP) in rodents] and the substantia nigra pars reticulata (SNr) (12, 13). The STN is also part of a circuit that includes the prefrontal cortex and the nucleus accumbens (14). It is currently unknown, however, whether these different roles reflect a heterogeneous population of cells, characterized by distinct gene expression. If that is the case, it would allow direct control over each cell population, facilitating the investigation of their respective roles. In rodents, the STN is believed to be composed solely of glutamatergic neurons, characterized by expression of the subtype 2 Vesicular glutamate transporter (Vglut2), whereas the other subtypes (Vglut1 and Vglut3) have not been detected (15, 16). Selective targeted deletion of Vglut2 expression in this nucleus would therefore provide a specific loss-of-function model that would bypass a common problem presented by traditional lesions with pharmacological agents, which have patterns of diffusion that likely affect surrounding structures (17). It is known, however, that Vglut2 is expressed in many other parts of the brain (18), and a complete knockout in the mouse is not viable (19, 20). There is also evidence that the promoter driving expression of the Paired-like homeodomain 2 (Pitx2) gene is strong in the mouse STN (21) but is also not specific to this structure and a full knockout of Pitx2 expression results in premature death (22). To achieve the desired level of specificity, using a conditional knockout technique previously used to eliminate glutamatergic transmission in other cell types (23), we crossed Pitx2-Cre and Vglut2-lox mice, producing Vglut2\textsuperscript{\textminus}Pitx2-Cre conditional knockout (cKO) mice in which Vglut2 expression in the STN was strongly reduced in comparison with expression levels in littermate control mice. To understand the physiological contribution of the selected subpopulation of STN cells, we characterized these cKO mice with regard to anatomical, behavioral, and functional aspects.


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Results

**Pitx2-Cre–Mediated Targeting Shifts the Expression Profile of Vglut2 in the STN from High to Low Levels.** The activity of the Pitx2-Cre transgene (21) was validated using the Tau-mGFP Cre-reporter transgene (24), which showed β-gal-immunopositive cell bodies in the STN (Fig. 1A) and GFP in the target areas of STN neurons, including the EP, corresponding to the internal segment of globus pallidus in primates (25) (Fig. 1A). β-gal was also detected in the mammillary nucleus (MN) and the posterior hypothalamus (PH), the two additional regions where Pitx2 expression has been described (21). Mice gene-targeted for Vglut2 specifically in Pitx2-Cre–expressing cells were produced by breeding Pitx2-Cre mice with Vglut2 Cre/– (19) mice, thereby producing cKO and control littermate mice. STN expression of all Vglut subtypes was analyzed on a single-cell level using multiplex single-cell RT-PCR analysis on freshly dissociated neurons from postnatal day (P) 1 and 20 control and cKO mice, detecting the Vglut2 WT allele in 38% and 40% of control cells, respectively, and the KO allele in 15% of cKO cells at P1, which increased to 28% at P20. The

**Fig. 1.** The Pitx2-Cre transgene is expressed in the STN and mediates a shift in Vglut2 mRNA levels and distribution. (A) Immunohistochemistry for β-gal (nuclear, Left) and GFP (projections, Right) on coronal mouse Pitx2-Cre Tau-mGFP sections shows Pitx2-Cre–expressing cell nuclei in the STN (Left) and their projection to the EP (Right target area). Representative examples of in situ hybridization for Vglut2 (magenta) and Pitx2 (green) mRNA in STN (arrows) of Ctrl (Upper row) and cKO (Lower row), with a merge to the left. (C) Superposition of monochrome in situ images of STN (Pitx2 mRNA, Top row; Vglut2, Middle row) with correlation values indicated according to correlation color scale to the right. mRNA level correlation analysis (Pitx2 mRNA on x axis; Vglut2 on y axis) from representative examples of Ctrl (Left) and cKO (Right). Pearson correlation coefficients for Ctrl and cKO (r(Ctrl) = 0.8605 and r(cKO) = 0.71401), respectively. (D) Histograms of quantitative distribution of mRNA intensity; average expression level marked by yellow line, expression detection limit marked by gray dotted line. cKO, conditional knockout; Ctrl, control.

The STN P20 cells were also analyzed for Vglut1 expression, which was detected in both control and cKO cells, and for Vglut3 expression, which was not detected at all (Fig. S1). In addition, analysis of MN and PH cells verified the expression of both Vglut1 and Vglut2 also in these areas and showed the incidence of the KO allele in 12% and 17% of Vglut2-expressing cells of cKO mice. In addition, all three areas contained cells coexpressing Vglut1 and Vglut2 (Fig. S1). Quantitative radioactive oligoprobe in situ hybridization was used to analyze the distribution of Vglut2 mRNA in the STN, its overlap with Pitx2, and the extent of the Vglut2 deletion in the adult mouse. Serial section analysis confirmed Vglut2 expression in the adult STN and revealed a reduction of Vglut2 expression in the STN of the cKO mice detected upon pure visual inspection (Fig. 1B). Closer examination of Pitx2 and Vglut2 expression in the STN by correlation analysis in each individual (two representative cases are shown in Fig. 1C) revealed a similar distribution of high and low expression values in control mice, whereas Vglut2 expression was severely diminished in cKO mice, as reflected by the horizontally deflected correlation curve (Fig. 1C, Right). Subsequent quantitative evaluation of the difference in STN Vglut2 mRNA expression between control and cKO brains showed a global ratio of STN vs. thalamus at 1.57 (± 0.16) in the control and 0.94 (± 0.12) in the cKO, a finding indicating a 40% decrease of Vglut2 expression in the STN of the cKO brain. A similar quantification in the MN and PH did not show a significant decrease of Vglut2 expression in either area (Fig. S1). A distribution analysis of Pitx2 and Vglut2 mRNA pattern in the STN revealed that both genes show a large dynamic range from low to high expression levels in the control, a range that remained the same for Pitx2 in the cKO but that was altered for Vglut2, which instead showed a more homogenous Vglut2 mRNA distribution with disappearance of high labelings (Fig. 1D). Further, the distribution of the labeling analyzed by quintiles showed that in the cKO the number of STN cells that expressed a very low level of mRNA was increased by 70%. The correlation analysis indicates that the Pitx2 and Vglut2 signals are highly mutually related in both control and cKO. The Pearson correlation ranged from 0.80 to 0.87 in control and 0.62 to 0.78 in cKO STN, the lower correlation level in the cKO being due to the change of the signal distribution for cKO and a usually higher variation, in relative terms, in weaker signal ranges. Moreover, the correlation data indicate that the decrease of Vglut2 signal is not associated with a subregion of the STN but covers the extent of this structure.

Because multiple structures are innervated by the STN (13), to globally assess brain anatomy upon the limited deletion of Vglut2 expression in the STN introduced here we analyzed serial sections spanning the entire brain upon in situ hybridization for excitatory (Vglut1 and Vglut2), inhibitory (glutamic acid decarboxylase; GAD) and dopaminergic (tyrosine hydroxylase; TH) markers, respectively, all of which seemed normal (Fig. S2), apart from the selective reduction of Vglut2 expression in the STN reported above.

**Diminished, but Not Eliminated, Glutamatergic Transmission in the Main Target Areas of the STN.** To understand the physiological consequences of the decreased Vglut2 expression levels in the STN, we used parasagittal slices containing the STN, EP, and SNr (26) to assess synaptic currents in STN targets. Excitatory postsynaptic currents (EPSCs) in the EP and SNr were elicited by a single 400-μs shock delivered by a concentric electrode placed on the STN (Fig. 2A). EPSC amplitude in EP cells increased as stimulus intensity was increased in both control and cKO mice. However, the dependency of EPSC amplitude on stimulus intensity was greater in control mice (Fig. S3A). It has previously been shown that a single stimulation pulse generates STN-dependent multiple EPSCs (compound EPSCs) in postsynaptic neurons (26), and our data in control animals corroborate this finding (Fig. 2A). However, in cKO mice, EPSCs in both
EP and SNr consisted of a single event (Fig. 2A). Because compound EPSCs generate larger long-lasting currents (26), we compared EPSCs in control and cKO mice by measuring the area under the curve (current density) of EPSCs. Current densities in cKO were dramatically decreased in both EP and SNr cells (Fig. 2B, 2P = 0.01, n = 20 cells and P = 0.004, n = 20 cells, respectively). In addition, cKO mice displayed smaller amplitudes of the first EPSC peak in both nuclei (Fig. 2B, P = 0.02, n = 20 and P = 0.001, n = 20 cells, respectively), whereas stimulation threshold (Fig. 2B, P = 0.03, n = 20 and P = 0.02, n = 20, respectively) and EPSC rise time (EP control/cKO 0.47 ± 0.07 ms vs. 0.01 ± 0.13, P = 0.001, n = 20; SNr control/cKO 0.36 ± 0.10 ms vs. 0.91 ± 0.11, P = 0.002, n = 20) were greater in EP and SNr cells of the cKO mice. The impact of impaired synaptic transmission between the STN and postsynaptic targets in vivo was evaluated by light stimulation of STN cells transduced by stereotaxic injection of an adeno-associated virus vector (AAV) with Cre-dependent Channelrhodopsin 2 (ChR2). Injection of AAV-Chr2 in Pitx2-Cre-expressing control and cKO mice produced a robust expression of ChR2 and its reporter gene encoding the YFP in the STN (Fig. 2C). Using multistate probes with silicon substrate, we recorded single-unit activity in the STN and EP in response to light stimulation (Fig. 2D and E). Single 10-s-long light pulses caused a large increase in firing frequency of STN neurons in both control and cKO mice (P = 0.01, n = 6 units per two animals and P = 0.003, n = 7 units per two animals, respectively; Fig. 2D). However, light stimulation of STN in cKO mice caused no significant change in EP cell firing frequency (n = 14 cells per two animals; Fig. 2 E and F), whereas in control animals firing frequency was markedly increased (P = 0.0003, n = 30 cells per two animals; Fig. 2 E and F). These experiments verified that the targeted loss of Vglut2 in the Pitx2-Cre–expressing cells of the STN severely affects the ability of STN to generate postsynaptic activity.

Normal Motor Coordination and Gait, but Accentuated Movement. Because the STN is heavily involved in motor behavior, we tested the Vglut2+/Pitx2-Cre mouse line in a battery of motoric tests. Littermate control and cKO mice were tested on the balance beam, the rotarod, and in the treadmill to assess fine and crude motor coordination and gait, respectively. The time to cross the

![Diagram](image-url)
balance beam did not differ significantly between cKO and control mice, indicating that fine motor coordination is normal in cKO mice. Both groups improved over the three trial days (P < 0.0001; F = 44.53; df = 2) regardless of genotype (P = 0.3046; F = 1.091; df = 1) (Fig. 3A). During days 1 and 2, both cKO and control mice stayed on the rotarod up to similar speeds, whereas at day 3 cKO mice stayed on the rotarod at a significantly higher speed (P = 0.0034; F = 6.104; df = 2), indicating that the crude motor abilities of cKO mice are at least as good as those of controls (Fig. 3B). Gait analysis was performed in an automated treadmill measuring the different components of the entire stride cycle (illustrated in Fig. 3C). All stride cycle components were normal in the cKO mice (stance (P = 0.1812), additionally subdivided into brake and propulsion (P = 0.2284) and swing (P = 0.8518); stride as one unit (P = 0.8518 for stride time and P = 0.7546 for stride length)) (Fig. 3D). Movement was further addressed in paradigms of affective behavior. In the elevated plus maze, the time spent in each arm as well as the frequency of visits was similar between cKO and control mice, indicating a lack of anxiety-related behavior (Fig. S3B). However, we noted that the latency with which cKO mice moved out from the center and the latency to enter the outer open arm (OOA) of the maze was significantly shorter for the cKO mice (P = 0.0115 for center and P = 0.0280 for OOA). In the forced swim test, the time spent immobile during the second trial was significantly shorter for the cKO group than for control littersmates, showing an increase in overall swimming activity in the cKO group (Fig. S3C).

Next, we assessed various aspects of movement more directly in automated activity boxes. We observed that both horizontal and vertical movement was elevated in the cKO mice compared with control littersmates. Locomotion was significantly increased in cKO mice compared with controls, both on days 1 and 2 in a 2-d trial (P = 0.0034 for days 1 and 2), whereas corner activity was significantly lower in cKO mice on both days (P = 0.0008; q = 3.915 and 5.668, respectively), showing that cKO mice spent more time in motion than their control littersmates (Fig. 3E). Vertical movement (rearing) was also significantly elevated in the cKO mice on day 2 (P = 0.0021) and, moreover, significantly increased from day 1 to day 2 within the cKO group (q = 3.799) (Fig. 3E). Because it is well known that dopamine normally aids in the facilitation of movement, we used a pharmacological challenge approach composed of three different substances that alter extracellular dopamine levels by different mechanisms. First, we injected both control and cKO mice with saline and amphetamine (1.5 mg/kg, i.p.) and analyzed their locomotor response. Amphetamine strongly increases extracellular dopamine levels, and hence locomotion, by acting on both the cytoplasmic membrane dopamine transporter (DAT) and the vesicular counterpart (VMAT) (27). Both control and cKO mice showed the same level of response to amphetamine (Fig. 3F), leading to a ca. threefold increase in locomotion, but cKO mice displayed a higher level of activity already before injection (P = 0.0286 for saline, P = 0.0028 for amphetamine). This difference remained after both saline (P = 0.0012) and amphetamine (P = 0.0106) injection (Fig. 3F). A second batch of mice were then challenged with 7.5 and 15 mg/kg of GBR12783, a DAT-selective blocker; both doses led to a heightened response in cKO compared with control mice, but the difference failed to reach statistical significance (Fig. S4). After an injection of reserpine (2 mg/kg), a potent blocker of vesicular monoamine packaging by VMAT that causes catalepsy, a separate batch of mice showed no difference between controls and cKOs. Both groups showed a similar successive decrease in locomotion after reserpine injection (Fig. S4).

Normal Dopamine Receptor Distribution, But Reduced Striatal Dopamine Clearance in Vivo. To further explore the putative effect of the conditional STN targeting of Vglut2 on dopamine neurotransmission in the basal ganglia circuitry, we addressed various components of the dopamine system. In addition to the described expression analysis of TH, which encodes the rate-limiting enzyme for dopamine synthesis and showed normal distribution in the cKO brain (Fig. S2), a series of binding assays were performed and quantified in the SNc and four striatal target areas (illustrated in Fig. 4A). Ligand binding to D1R was normal in all four striatal areas examined as well as in the SNr, which was also quantified (Fig. S5). The same observation was made for the D2R, both in all striatal target areas analyzed as well as presynaptically in the dopamine cell bodies of the SNc (Fig. S5). In contrast, the binding capacity of DAT was significantly lower in the cKO in all striatal target areas examined but preserved in the cell bodies of the SNc, indicating a selective reduction of striatal...
DAT expression in the cKO mice (Fig. 4B). To address whether dopamine kinetics is altered in the cKO mice, KCl-evoked dopamine release was measured and quantified in the dorsal striatum of anesthetized mice by high-speed in vivo chronoamperometry (Fig. 4C). No significant difference was found between control and cKO mice in dopamine amplitude, but a trend was observed in the cKO mice toward increased peak area and decreased clearance rate (Fig. 4D). A significant decrease was observed for dopamine clearance ($T_{90}, P = 0.0474$) (Fig. 4D). Local striatal application of exogenous dopamine also revealed significantly reduced clearance in the cKO mice (Fig. 4E; representative peaks derived from control and cKO mice). Significantly lower clearance rate ($P = 0.0317$) (Fig. 4F) as well as prolonged time to clear 80% of dopamine from the extracellular space ($T_{90}, P = 0.0451$) (Fig. 4F) were observed. Targeting $Vglut2$ in the STN thus leads to an effect on DAT activity that is likely to contribute to the hyperlocomotion phenotype observed.

Preserved Spatial Memory, Level of Impulsivity, Cognitive Flexibility, and Social Dominance. To analyze cognitive behavior in the cKO mice, spatial working and reference memory was measured in the radial eight-arm maze. cKO mice did not make more working memory or reference memory errors than controls; however, they had a significantly increased number of trials that were not completed (Fig. S6). We also used the delay discounting task, a paradigm that allows the animal to freely choose between a small but immediate reward and a larger, but delayed, one. The cKO mice did not differ significantly from controls in their ability to wait for a larger reward, but they refrained from choosing in response to the light stimulus more often. All animals registered similar omissions to collect the earned reward, average latencies to choose or collect rewards, and inappropriate head entries at the small reward side and inactive holes (Fig. S7).

Discussion

Contrary to what was previously reported (15, 16), we found that the STN has not one, but at least three main populations of glutamatergic cells, as characterized by their expression of either $Vglut1$ or $Vglut2$ or coexpression of both. $Vglut2$ is expressed at higher, and thus more readily detectable, levels than $Vglut1$ and is strongly correlated with Pitx2 expression. Despite the restricted expression of $Vglut2$ expression in the STN of our cKO mice, leaving about two-thirds of the original $Vglut2$ expression levels, it gave rise to severely disrupted communication with the main target areas, the EP and the SNr, and the mice displayed a strong movement-specific phenotype while preserving, with minor exceptions, normal cognitive and affective behavior. In addition to the reduced glutamatergic tone in the SNr and EP, decreased DAT levels and slower dopamine reuptake support an indication of increased extracellular dopamine in the striatum, likely related to the observed hyperlocomotion. Altogether, our results demonstrate that shifting the $Vglut2$ expression profile in the STN from high- to low-level expression is sufficient to cause behavioral consequences comparable with much larger and indiscriminate lesions in this area.

Since the discovery of the beneficial clinical effects of STN high-frequency stimulation, this nucleus has been the subject of hundreds of studies attempting to explain the phenomenon (28). Valuable efforts have been made in characterizing the anatomical (29) and electrophysiological (30) properties of neurons within this structure. Recently, Favier et al. (31) identified altered protein levels of VGLUT1 and VGLUT2 in multiple brain areas upon STN high-frequency stimulation. The identification of promoter-specific subgroups of neurons within the STN itself, however, has been somewhat neglected, likely owing to the homogeneity of the STN (having only one type of neurotransmitter, glutamate), and the relatively recent discovery of different glutamatergic transporters. Compared with previous attempts that performed a broad $Vglut$ characterization of several brain areas at once (15, 16, 32), we focused our efforts specifically on the STN and quantified the expression of $Vglut1$ and $Vglut2$ through both in situ hybridization and single-cell RT-PCR techniques. Whereas $Vglut2$ expression was easily detected by both techniques, $Vglut1$ expression—not detected by in situ hybridization analysis—could be quantified by multiplex single-cell RT-PCR. We found a partial overlap between the expression of both transporters, a pattern that is also found in other areas of the central nervous system (33, 34). The advantage of characterizing the expression pattern of promoters is the ability to subsequently manipulate them, an approach we took here by blunting glutamatergic release in $Vglut2$-expressing cells that were also positive for Pitx2. This is the first description, to our knowledge, of a behavioral role for a subpopulation of neurons within the STN. Our results are comparable to those obtained by traditional methods of lesioning this nucleus, in which animals display a consistent improvement in locomotion (35), and also clearly observed in experiments with high-frequency stimulation of the STN (36, 37). Although we cannot determine whether disabling $Vglut2$-expressing neurons is necessary for this behavioral effect, we now have evidence that it is a sufficient condition. Moreover, the effect we observe here does seem specific to the role of $Vglut2$ itself in the STN, and not to a general decrease in glutamatergic signaling: $Vglut2$-heterozygous mice, in which all glutamatergic transmission mediated by this transporter is reduced by up to 50%, present no abnormality in locomotion (20), and the same is true for mice heterozygous for $Vglut1$ (38). Therefore, it is likely that the effects we achieved are due to a characteristic property of the cKO cells, such as their local connectivity or projection targets. The experiments we performed regarding synaptic activity in the two major targets of the STN corroborate this interpretation. The cKO mice showed a strong reduction in the excitatory activity the STN could produce on the EP and the SNr, as evidenced by both in vitro and in vivo electrophysiology. The latter experiments, using ChR2 expression selectively in Pitx2-Cre-expressing cells of the STN, could confirm that these neurons are normally able to induce increased activity in the STN targets, but that this function was lost after $Vglut2$ conditional deletion.

Considering the classic model describing a direct and an indirect pathway through the basal ganglia, we suggest that the locomotor effects we observed were likely mediated by this disruption in the indirect pathway, weakening the STN chronic inhibitory output these structures have on the thalamus. Addi-

Additionally, consistent with high-frequency stimulation studies (39), no difference was found in the levels of dopamine receptor binding in the dorsal striatum; the altered DAT levels, however, in combination with slower dopamine clearance, are suggestive of overall elevated extracellular striatal dopamine levels. Besides locomotor activity, the behavioral tasks we performed generally did not show any differences between cKOs and controls, showing that the role of $Vglut2$-expressing cells in the STN seems to be closely related to locomotion. One notable exception was the forced swim test, in which the knockout mice spent more time active, a finding that suggests that, unlike interventions such as high-frequency stimulation of the STN (39), our cKOs do not show increased depression-like behavioral symptoms, but rather the opposite. In humans, lesions of the STN have also been reported to improve depression symptoms (8). The effect we saw could be due to some particular pattern of projections of the $Vglut2$ population of cells compared with other neurons in the STN, or simply due to the fact that the cKO mice are more active in general, possibly even during the water-based test. Aside from this, it seems our intervention was largely limited to locomotor consequences, presenting none of the affective or cognitive impairments observed in other experiments. It is possible to speculate that this promoter-based approach to decreasing STN function could be a way of avoiding some of the side effects commonly seen in treatments that require lesions or high-frequency stimulation of this nucleus.


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