Susceptibilities of Enterococcus faecalis Biofilms to Some Antimicrobial Medications

Kênio C. Lima, DDS, MSc, Luis R. G. Fava, DDS, and José F. Siqueira Jr., DDS, MSc, PhD

Enterococcus faecalis has been suggested to be an important etiological agent in endodontic failures. The purpose of this study was to evaluate the effectiveness of chlorhexidine- or antibiotics-based medications in eliminating E. faecalis biofilms. One-day and three-day biofilms of E. faecalis were induced on cellulose nitrate membrane filters. Each biofilm-containing membrane was thoroughly covered with 1 ml of the test medications and incubated for 1 day at 37°C. Treated biofilms were then aseptically transferred to vials containing a neutralizing agent in saline solution and vortexed. Suspensions were 10-fold diluted, seeded onto Mitis salivarius agar plates, and the colony-forming units counted after 48 h of incubation. There were significant differences between the formulations tested. The association of clindamycin with metronidazole significantly reduced the number of cells in 1-day biofilms. However, of all medications tested, only 2% chlorhexidine-containing medications were able to thoroughly eliminate most of both 1-day and 3-day E. faecalis biofilms.

Enterococci are involved in a wide variety of infections in humans, including infections in the urinary tract, bloodstream, endocardium, abdomen, biliary tract, burn wounds, and indwelling foreign devices (such as intravascular catheters). They have also become established as a nosocomial pathogen (1, 2).

Although enterococci are not normally present or are present in very low numbers in untreated root canals (3, 4), they have been suggested to be important agents in endodontic failure. Molander et al. (5) examined the microbiological status of root filled teeth with periapical lesions and found enterococci in 32% of the investigated teeth. Under similar conditions Möller (6) and Sundqvist et al. (7) isolated Enterococcus faecalis from 29% and 38% of canals that had recoverable microorganisms, respectively.

It has been reported that infections by enterococci can be difficult to treat (8, 9). Enterococci show intrinsic and acquired resistances to several antibiotics. They are intrinsically resistant to practically all available cephalosporins and have reduced sensitivity to penicillins, carbapenems and other β-lactams. Enterococci are also unsurceptible to aminoglycosides. In addition to intrinsic resistances enterococci have acquired genetic determinants that confer resistance to all classes of antimicrobials, including chloramphenicol, tetracyclines, macrolides, and clindamycin (1, 8).

Studies have also revealed that calcium hydroxide dressing is ineffective against enterococci (3, 10–12). Therefore it seems that the frequent isolation of enterococci in failed endodontic cases puts in question the routine use of calcium hydroxide as an intracanal medication (5).

Recognizing the potential role of E. faecalis in the failure of root canal therapy makes it important to develop strategies to control infections caused by this organism. Thus the purpose of this study was to evaluate the effectiveness of alternative medications in eliminating E. faecalis biofilms.

MATERIALS AND METHODS

Biofilm Formation

Biofilms of E. faecalis strain ATCC 29212 were induced on cellulose nitrate membrane filters. An aliquot of 20 μl of an overnight culture of E. faecalis grown in brain heart infusion broth (Difco, Detroit, MI) was seeded onto 13.0 mm diameter cellulose nitrate membrane filters (0.22 μm pore diameter; Whatman Ltd., Maidstone, Kent, UK), which were on the surfaces of Mitis salivarius agar (Difco) plates. Inoculum was standardized to 0.08 to 0.10 at 625 nm of wavelength. Nine membranes were used for each plate. Plates containing membranes were then incubated for either 1 day or 3 days at 37°C in an aerobic atmosphere. The method used herein was modified from that described by Thrower et al. (13).

Antimicrobial Medications Tested

The medications tested were in gel form. They were as follows:

a. 2% gluconate chlorhexidine, 2% natrozole, in distilled water
b. 2% gluconate chlorhexidine, 1.25% lauryl-diethylene-glycol-ether-sodium sulfate, 2% natrozole, in distilled water
c. 2% clindamycin, 2% natrozole, in distilled water
d. 2% clindamycin, 1.25% lauryl-diethylene-glycol-ether-sodium sulfate, 2% natrozole, in distilled water
e. 2% gluconate chlorhexidine, 15% zinc oxide, 1.25% lauryl-diethylene-glycol-ether-sodium sulfate, 2% natrozole, in distilled water
The significance level was always established in the period. Data concerning medication effectiveness against biofilms were analyzed for differences using ANOVA and Tukey’s test.

Mean CFU counts were transformed in log numbers. Student’s test was used to compare data obtained from untreated biofilms (control) taken at the day of medication application and after one more day, as well as the number of adhered and lost cells in each period. Data concerning medication effectiveness against biofilms were analyzed for differences using ANOVA and Tukey’s test. The significance level was always established in 5% (p < 0.05).

### Results

No significant difference was observed between the mean numbers of adhered and lost cells in 1-day biofilms that were 7.28 and 7.38 (in log units), respectively. Conversely there was a significant loss of cells in 3-day biofilms. The mean numbers of adhered and lost cells in 3-day biofilms were 7.41 and 8.13, respectively.

After an additional day (corresponding to the time of medication exposure) untreated 1-day biofilms (control) showed no differences between adhered and lost cell numbers (7.12 and 8.02 log units, respectively). Again a significant loss of cells was observed for the 3-day biofilms (adhered cells = 6.47 and lost cells = 8.11).

No differences were found when comparing the number of adhered cells in control 1-day biofilms immediately before the time of medication application (7.28 CFU) and after one more day (7.12 CFU). The same results were observed when comparing the number of lost cells before and after the time of medication exposure (7.38 and 8, respectively).

Regarding the controls of 3-day biofilms, the number of adhered cells before medication application was significantly higher when compared with the number of adhered cells after the time corresponding to medication application (7.41 and 6.47, respectively). However no difference was observed regarding the number of detached cells (before = 8.13 and after medication application = 8.11).

Significant differences were also observed when comparing the number of adhered cells in 1-day and 3-day biofilms after the time corresponding to medication application. Biofilms that were in contact with the control medication (natrozole plus lauryl-diethylene-glycol-ether-sodium sulfate) showed no significant cell loss (7.10 CFU) when compared with the number of adhered cells in the 1-day biofilm (7.12 CFU).

### Biofilm Susceptibility Assay

Each biofilm-containing membrane was thoroughly covered with 1 ml of the test medications and incubated for 1 day at 37°C. Uncovered biofilm membranes served as a control of adhered and lost cells before and after the application of test medications. Six biofilm membranes were used for each group, including the controls.

At the day of medication application control membranes containing untreated biofilms were transferred to vials containing 10 ml of sterile saline solution and maintained immersed for 1 min under light agitation to recover microorganisms not adhered. Afterward each membrane was transferred to another vial containing 10 ml of saline solution and vigorously vortexed for 1 min to suspend adhered cells. The same procedure was performed for other control membranes after 1 day, which corresponded to the time of medication application. The obtained suspensions were 10-fold diluted in 0.85% saline solution and the viable cells were counted on *Mitis salivarius* agar after aerobic incubation for 48 h at 37°C.

Treated biofilms were then aseptically transferred to vials containing 10 ml of saline solution with Letheen broth (neutralizing broth) and vortexed for 1 min. Suspensions were 10-fold diluted, seeded onto *Mitis salivarius* agar plates and the colony-forming units (CFU) counted after 48 h of aerobic incubation at 37°C.

The same procedures were performed for 1-day and 3-day biofilms. However only medications a, b, and e were tested against the 3-day biofilms.

### Statistical Analysis

To confer homoscedasticity to the populations, data concerning CFU counts were transformed in log numbers. Student’s t test was used to compare data obtained from untreated biofilms (control group) taken at the day of medication application and after one more day, as well as the number of adhered and lost cells in each period. Data concerning medication effectiveness against biofilms were analyzed for differences using ANOVA and Tukey’s test. The significance level was always established in 5% (p < 0.05).

---

**Table 1. No. of viable bacterial cells in 1-day *E. faecalis* biofilms after exposure to the test medications (in log units)**

<table>
<thead>
<tr>
<th>Specimen (n = 6)</th>
<th>Adhered Cells*</th>
<th>Medications†</th>
<th>Control</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>a</td>
<td>b</td>
<td>c</td>
</tr>
<tr>
<td>1</td>
<td>7.74</td>
<td>2.84</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>8.53</td>
<td>2.69</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>6.60</td>
<td>0</td>
<td>1.30</td>
</tr>
<tr>
<td>4</td>
<td>6.68</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>5</td>
<td>7.00</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>6</td>
<td>6.17</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Mean ± SD 7.12 ± 0.87 0.92 ± 1.43 0.22 ± 0.53 8.02 ± 0.51 6.64 ± 0.40 0.50 ± 0.79 6.22 ± 0.93 7.10 ± 0.68

* No. of adhered cells in biofilms after the time of medication exposure.
† Medications in gel form (natrozole): (a) 2% chlorhexidine; (b) 2% chlorhexidine and detergent; (c) 2% clindamycin; (d) 2% clindamycin and detergent; (e) 2% chlorhexidine, 15% zinc oxide, and detergent; (f) 2% clindamycin, 10% metronidazole, and detergent; and (control) detergent.

---

f. 2% clindamycin, 10% metronidazole, 1.25% lauryl-diethylene-glycol-ether-sodium sulfate, 2% natrozole, in distilled water.

The control group consisted of a gel compound containing 2% natrozole and 1.25% lauryl-diethylene-glycol-ether-sodium sulfate in distilled water.

---

**Results**

### Controls

No significant difference was observed between the mean numbers of adhered and lost cells in 1-day biofilms that were 7.28 and 7.38 (in log units), respectively. Conversely there was a significant loss of cells in 3-day biofilms. The mean numbers of adhered and lost cells in 3-day biofilms were 7.41 and 8.13, respectively.

After an additional day (corresponding to the time of medication exposure) untreated 1-day, biofilms (control) showed no differences between adhered and lost cell numbers (7.12 and 8 log units, respectively). Again a significant loss of cells was observed for the 3-day biofilms (adhered cells = 6.47 and lost cells = 8.11).

No differences were found when comparing the number of adhered cells in control 1-day biofilms immediately before the time of medication application (7.28 CFU) and after one more day (7.12 CFU). The same results were observed when comparing the number of lost cells before and after the time of medication exposure (7.38 and 8, respectively).

Regarding the controls of 3-day biofilms, the number of adhered cells before medication application was significantly higher when compared with the number of adhered cells after the time corresponding to medication application (7.41 and 6.47, respectively). However no difference was observed regarding the number of detached cells (before = 8.13 and after medication application = 8.11).

Significant differences were also observed when comparing the number of adhered cells in 1-day and 3-day biofilms after the time corresponding to medication application. Biofilms that were in contact with the control medication (natrozole plus lauryl-diethylene-glycol-ether-sodium sulfate) showed no significant cell loss (7.10 CFU) when compared with the number of adhered cells in the 1-day biofilm (7.12 CFU).

---

**Susceptibilities of 1- and 3-Day Biofilms**

Results of the effects of the test medications on the 1-day biofilms are shown in Table 1. There were significant differences between the medications tested. Medications a, b, e, and f significantly reduced the number of viable bacterial cells in the biofilms. However only the chlorhexidine-containing medications (a, b, and e) were able to completely eliminate most of the biofilms. Medication d and the control medication did not significantly affect the biofilm. Medication c allowed the overgrowth of bacteria.

When comparing the test formulations, it was verified that pairs a/e, b/e, d/f, and d/control medication showed no differences re-
fueculis application was also significantly higher than after one more day of incubation. The number of adhered cells before medication ified after one more day of incubation, which simulated the expo-

formation. No difference was observed between adhered and lost cells when examining 1-day biofilms. The same finding was ver-

Concerning antibacterial activity. Significant differences were ob-

erved when comparing the other pairs.

Because of the results of 1-day biofilms, only medications a, b, and e were tested against 3-day biofilms. Results are shown in Table 2. All medications were effective in eliminating or at least inducing a significant reduction of the bacterial cell number in the biofilms. There were no significant differences between medica-

tions (p > 0.05).

**DISCUSSION**

Enterococci are normal commensals adapted to the nutrient-enriched, oxygen-depleted, ecologically complex environments of the oral cavity, gastrointestinal tract, and vaginal vault. During tissue invasion enterococci usually encounter adverse environmental conditions, including limited nutrients availability and host defense molecules and cells. To cause an infection enterococci likely express genes favoring survival under these adverse tissue conditions (2).

Definite and potential virulence factors for *E. faecalis* include cytolsin (lytic toward selected mammalian cells), aggregation substance (involved in binding to host cells), pheromones (chemoattractant for neutrophils), lipoteichoic acid (stimulates cytolytic production by monocytes), and lytic enzymes, such as protease (gelatinase) and hyaluronidase (2). The cell arrangement in biofilms may also be important in the infectivity of this microorganism.

*E. faecalis* has been recovered from several oral sites (14). It was selected for use in this study because it exhibits a high level of resistance to a wide range of antimicrobial agents (1, 8, 15), and it is among the few facultative bacteria associated with persistent apical periodontitis (5–7, 9, 16). Endodontic infections with *E. faecalis* usually constitute a problem in treatment because this microorganism is difficult to eliminate (9).

The method used herein was useful to induce in vitro biofilm formation. No difference was observed between adhered and lost cells when examining 1-day biofilms. The same finding was verified after one more day of incubation, which simulated the exposure time of the medications tested. These findings indicated that 1-day *E. faecalis* biofilms may be effectively used to compare the antimicrobial effectiveness of medications. On the other hand, 3-day biofilms showed significantly more lost bacterial cells than adhered cells. The same finding was observed after one more day of incubation. The number of adhered cells before medication application was also significantly higher than after one more day of incubation. Our findings suggested that 3-day biofilms can be difficult to use in evaluating antimicrobial activity of medications once the number of lost cells is relatively high. However, although 3-day biofilms show a smaller number of adhered cells when compared with 1-day biofilms, they are usually more mature and theoretically more difficult to eliminate, justifying their use in the present investigation.

The association of clindamycin with metronidazole significantly reduced the number of cells in 1-day biofilms. However, all medications tested, only chlorhexidine-containing formulations were able to thoroughly eliminate both 1-day and 3-day *E. faecalis* biofilms. Chlorhexidine is used worldwide in dental plaque control and recently has been suggested as an intracanal medication. Although the occurrence of chlorhexidine-resistant enteric strains has been reported (15), the findings of the present study are in agreement with others when evaluating the effects of chlorhexidine against enterococci (17, 18).

Studies have revealed that chlorhexidine has significant antibacterial activities against most of the bacterial species isolated from infected root canals (18–20). Chlorhexidine is a cationic molecule that exerts its antibacterial effects by disrupting the integrity of the bacterial cytoplasmic membrane, causing leakage of intracellular contents (18). In higher concentrations the precipitation of the bacterial cytoplasm occurs as result of the interaction between chlorhexidine and phosphorylated entities, such as adenosine triphosphate and nucleic acids (18).

The medications tested were in gel form (nitrzole) to make application in the root canal system easier. In addition they may possibly be retained in the root canal for longer periods. Because the anionic detergent used in some formulations (lauryl-diethy-

dene-glycol-ether-sodium sulfate) has a low surface tension, it could theoretically enhance the effectiveness of the medications on the biofilms. On the other hand an anionic detergent can theoretically dampen the antagonistic effects of chlorhexidine, which is a catonic substance. In any event our findings demonstrated that this detergent did not significantly affect the effectiveness of the medications (particularly chlorhexidine) on *E. faecalis* biofilms.

The results of this study indicated that chlorhexidine may exert an important role in the eradication of endodontic infection associated with teeth that were refractory to the conventional endodontic therapy. Further clinical studies may help to confirm our findings.

Dr. Lima is assistant professor, Department of Preventive and Social Dentistry, Faculty of Dentistry, Federal University of Rio Grande do Norte, Natal, Brazil. Dr. Fava is currently practicing in São Paulo, Brazil. Dr. Siqueira is professor and chairman, Department of Endodontics, Estaci6 de Sá Universi-


ty, Rio de Janeiro, Brazil. Address requests for reprints to Dr. José F. Siqueira Jr., R. Heróides de Oliveira 61/601, Icaraí, Niterói, RJ, Brazil 24230-230.

**Table 2.** No. of viable bacterial cells in 3-day *E. faecalis* biofilms after exposure to 2% chlorhexidine-containing medications (in log units)

<table>
<thead>
<tr>
<th>Specimens (n = 6)</th>
<th>Adhered Cells*</th>
<th>Medications†</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>a</td>
<td>b</td>
</tr>
<tr>
<td>1</td>
<td>5.3</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>5.3</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>6.77</td>
<td>4.1</td>
</tr>
<tr>
<td>4</td>
<td>6.77</td>
<td>0</td>
</tr>
<tr>
<td>5</td>
<td>7.34</td>
<td>1.84</td>
</tr>
<tr>
<td>6</td>
<td>7.34</td>
<td>1.3</td>
</tr>
<tr>
<td>Mean ± SD</td>
<td>6.47 ± 0.94</td>
<td>0 ± 0</td>
</tr>
</tbody>
</table>

* *No. of adhered cells in biofilms after the time of medication exposure.
† Medications in gel form (nitrzole): (a) 2% chlorhexidine; (b) 2% chlorhexidine and detergent; and (e) 2% chlorhexidine, 15% zinc oxide, and detergent.

References

2. Jett BD, Huycke MM, Gilmore MS. Virulence of enterococci. Clin Mi-


3. Bystro1m A, Claesson R, Sundqvist G. The antibacterial effect of cam-


4. Siqueira JF Jr, Rôças IN, Souto R, Uzeda M, Colombo AP. Checker-


5. Molander A, Reit C, Dahlén G, Kvist T. Microbiological status of root-

